

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Stuart Serkin et al.                      Art Unit : 3692  
Serial No. : 09/404,518                              Examiner : Elda G. Milef  
Filed : September 23, 1999                      Conf. No. : 8450  
Title : MATCH-OFF OF ORDER FLOW IN ELECTRONIC MARKET SYSTEM

**Mail Stop Amendment**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL IN REPLY TO NOTICE OF FEBRUARY 4, 2009 FOR  
NON COMPLIANT BRIEF

The enclosed corrected brief is in response to the Notification of Non-Compliant Appeal Brief dated February 4, 2008. The brief now contains a statement for the correct Claims that are the subject of the appeal.

No Fee is due. Please apply any other charges or credits to Deposit Account No. 06-1050, referencing Attorney Docket No. 09857-0030001.

Respectfully submitted,

Date: February 6, 2009

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**CERTIFICATE OF MAILING BY EFS-WEB FILING**

I hereby certify that this paper was filed with the Patent and Trademark Office using the EFS-WEB system on this date: February 06, 2009